

Case: GLADS-001A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Garry D. Gladstone)

Serial No.: 09/884,303)

Filed: June 19, 2001)

For: METHOD AND SYSTEM FOR)
AUTOMATED TRADING OF FINANCIAL)
INSTRUMENTS)

Art Unit: Unknown

Examiner: Unknown

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. SECTION 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir/Madam:

Pursuant to the Rules of Practice in patent cases, Applicant has not previously conducted a patentability search in the subject invention and is not in the possession of any relevant prior art documentation at this time.

Respectfully submitted,

Dated: 8/17/01
Customer No. 007663

By:

A handwritten signature in black ink, appearing to read "Eric L. Tanezaki".

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